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BEFORE THE ARIZONA CORPORATION COMMISSION

COMMISSIONERS:
KRISTIN K MAYES – Chairman
GARY PIERCE
PAUL NEWMAN
SANDRA D. KENNEDY
BOB STUMP

2011 JAN 31 P 4: 16

AZ CORP COMMISSION
DOCKET CONTROL

Docket No. S-20600A-08-0340

IN THE MATTER OF:
MARK W. BOSWORTH and LISA A.
BOSWORTH, husband and wife;
STEPHEN G. VAN CAMPEN and DIANE V.
VANCAMPEN, husband and wife;
MICHAEL J. SARGENT and PEGGY L.
SARGENT, husband and wife;
ROBERT BORNHOLDT and JANE DOE
BORNHOLDT, husband and wife;
MARK BOSWORTH & ASSOCIATES, LLC,
An Arizona limited liability company;
3 GRINGOS MEXICAN INVESTMENTS, LLC;
An Arizona limited liability company

RESPONDENTS MARK W. BOSWORTH,
MARK BOSWORTH & ASSOCIATES, L.L.C. &
3 GRINGOS MEXICAN INVESTMENTS, LLC
LIST OF WITNESSES AND EXHIBITS

Respondents.

Arizona Corporation Commission

DOCKETED

JAN 31 2011

DOCKETED BY

Respondents hereby identify the witnesses and exhibits they may use at the hearing of this matter.

Respondents reserve the right to supplement this List and/or supplement any witnesses or documents and evidence deemed necessary or appropriate for cross-examination or rebuttal or new documents or witnesses as received through normal scope of disclosure and any new information obtained in filings by any party .

I. WITNESSES.

1. Andrew Waite

- 1 2. Sam Wercinski
- 2 3. Jeffrey R. Adams-Esquire
- 3 4. Joe Hull
- 4 5. Alan L. Davis
- 5 6. Bernie Fleming
- 6 7. Paul Herman
- 7 8. Ray Stern
- 8 9. Sam Anselmo
- 9 10. Alan Halling
- 10 11. Catherine Reagor
- 11 12. Leoda Bosworth
- 12 13. Troy Bosworth
- 13 14. Mindy Bosworth
- 14 15. Steven Manley
- 15 16. Alisha Manley
- 16 17. Dan Manley
- 17 18. Alan Bywater
- 18 19. Tracy Hollingsworth
- 19 20. Ryan Lee
- 20 21. Gregory Ryan Lee
- 21 22. Representative of Brewer Caldwell Property Management
- 22 23. Valerie Stringer
- 23 24. Matt Harward
- 24 25. Brett Harward
- 25 26. Travis Richey
- 26 27. Fred Richards

1 28. Rod Howell

2 29. Alan NewDelman-Esquire

3 30. Any custodian of record

4 31. Any witnesses and/or investors to include those the Securities Division of the Arizona
5 Corporation Commission (the "Division") identifies as potential witnesses in its still
6 undisclosed List of Witnesses

7 32. Any witnesses listed at any time by any Respondant

8 33. Representative from State of Arizona Department of Real Estate

9 34. Representative from the State of Arizona Attorney General's Office

10 35. Representative(s) of Arizona Corporation Commission including all persons that participated
11 in said investigation to include Julie Coleman.
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15 II. EXHIBITS.

16 1. Documents supplied in binders on January 31, 2011 are all expected to be used in hearing,
17 while reserving the other following rights to documents the Securities Division has been
18 aware of since May 3, 2010 listed below.

19 2. All documents/data in possession of Arizona Corporation Commission in this case including
20 consent orders or settlement agreements reached with any original respondents including
21 Bornholdt and VanCampen.
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23 3. All advertisements, literature, sales material, PowerPoint presentations etc associated in any
24 way with seminars conducted by respondents.

25 4. All documents/data in possession of State of Arizona including the Arizona Department of
26 Real Estate and Attorney General's Office to which the ACC has had access.

27 5. All documents Respondent Bosworth, MBA, 3 Gringos have attempted to disclose to ACC
28 but refused by ACC including recent notices to Wendy Coy and Julie Coleman referring to

1 emails including pictures of boxed documents sent to Aaron Ludwig formerly of the
2 Division.

3 6. Transcripts and exhibits from any testimony in this matter including minutes of hearings.

4 7. All documents produced by Respondents or The Division.

5 8. All documents the Division received in response to any investigation or investigative
6 subpoena or other subpoena.

7 9. All documents produced by the Division.

8 10. All documents the Division identifies as exhibits.

9 11. All correspondence between Respondents and Division.

10 12. All documents the Division obtained during the course of its investigation.

11 13. All memos/notes prepared or created as work product of investigators by the Division or any
12 other agency including notes of any interviews conducted in this matter.

13 14. Any documents produced subsequent hereby by any party to this proceeding.

14 15. Arizona Corporation Commission Decision No. 71071 (Bank of America).

15 16. Arizona Corporation Commission Decision No. 70968 (Citigroup).

16 17. Arizona Corporation Commission Decision No. 71333 (Credit Suisse).

17 18. Arizona Corporation Commission Decision No. 71249 (Duetsche Bank).

18 19. Arizona Corporation Commission Decision No. 71278 (JP Morgan).

19 20. Arizona Corporation Commission Decision No. 71162 (Merrill Lynch).

20 21. Arizona Corporation Commission Decision No. 71425 (Morgan Stanley).

21 22. Arizona Corporation Commission Decision No. 71216 (RMC Capital).

22 23. Arizona Corporation Commission Decision No. 70896 (Wachovia).

23 24. Securities and Exchange Commission v. W. J. Howey Co-328 U.S. 293 (decided May 27th,
24 1946)

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RESPECTFULLY SUBMITTED this 31st day of January, 2011.

By



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6 Phoenix, Arizona 85016-4400

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